



Patent  
Attorney's Docket No. 032491-044

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
)  
Luo, et al. ) Group Art Unit: 3763  
)  
Application No.: 09/456,110 ) Examiner: Hayes, Michael J.  
)  
Filed: December 7, 1999 ) Confirmation No.: 5994  
)  
For: METHOD AND SYSTEM FOR TREATING )  
STROKE USING HYPERTHERMIA )

**RECEIVED**  
**OCT 11 2002**  
TECHNOLOGY CENTER R3700

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 5,957,963, Dobok, III, issued September 28, 1999;  
SCHWARTZ, AE. Isolated Cerebral Hypothermia by Single Carotid Artery  
Perfusion of Extracorporeally cooled blood in baboons. Neurosurgery. September 1996,  
Vol. 39, No. 3, 577-581.

Applicants hereby make the statement specified in 37 C.F.R. §1.97(e) that each document contained herein was first cited in the International Preliminary Examination Report for the corresponding PCT application (PCT/CA01/01054) within 3 months of the filing date of this Information Disclosure Statement. Therefore, no fee is required under 37 C.F.R. § 1.97(c). A copy of this International Search Report is also enclosed herewith.

Supplemental Information Disclosure Statement

Application No. 09/456,110

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For the purpose of patent term adjustment, Applicants also wish to point out that this Supplemental Information Disclosure Statement is being filed within 30 days of receipt of the aforementioned International Search Report by Applicants or Applicants' representatives.

By citing the above references, Applicants do not acquiesce or admit that any of these documents is "prior art" under 35 U.S.C. Applicants specifically reserve the right, where appropriate, to antedate any of the cited documents by an appropriate showing under 37 C.F.R. §1.131, §1.604, §1.608 or any other suitable means.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_



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Date: October 8, 2002



Patent  
Attorney's Docket No. 032491-044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Luo, et al.

Application No.: 09/456,110

Filed: December 7, 1999

For: Method and System for Treating Stroke  
Using Hyperthermia

Group Art Unit: 3763

Examiner: Hayes, Michael J.

Confirmation No.: 5994

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TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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